UNITED
NATIONS

A

General Assembly

Distr.
GENERAL

A/C.5/47/93
13 April 1993

ORIGINAL: ENGLISH

Forty-seventh session
FIFTH COMMITTEE
Agenda items 104 and 22

PROGRAMME BUDGET FOR THE BIENNIUM 1992-1993

THE SITUATION OF DEMOCRACY AND HUMAN RIGHTS IN HAITI

Programme budget implications of draft resolution A/47/L.56

Statement submitted by the Secretary-General in accordance with rule 153 of the rules of procedure of the General Assembly

A. Requests contained in the draft resolution

1. Under the terms of operative paragraphs 1 and 2 of draft resolution A/47/L.56, the General Assembly would:

(a) Approve the report of the Secretary-General (A/47/908) and the recommendations contained therein for United Nations participation, jointly with the Organization of American States in the International Civilian Mission to Haiti, with the initial task of verifying compliance with Haiti's international human rights obligations, with a view to making recommendations thereon, in order to assist in the establishment of a climate of freedom and tolerance propitious to the re-establishment of democracy in Haiti;

(b) Decide to authorize the deployment without delay of the United Nations participation in the International Civilian Mission to Haiti and request the Secretary-General to take the steps necessary to expedite and strengthen its presence in Haiti.

B. Activities by which the proposed request would be implemented

2. Should the General Assembly adopt draft resolution A/47/L.56, the Secretary-General would establish the United Nations component of a joint United Nations/Organization of American States mission to be known as the International Civilian Mission to Haiti. The mission's main objective would be to verify respect for human rights as laid down in the Haitian Constitution and in the

international instruments to which Haiti is a party, in particular, the International Covenant on Civil and Political Rights and the American Convention on Human Rights. The mission would devote special attention to the observance of the rights to life, to the integrity and security of the person, to personal liberty, to freedom of expression and to freedom of association.

3. It will be recalled that, following the adoption of General Assembly resolution 47/20 of 24 November 1992, the Secretary-General appointed, on 11 December 1992, Mr. Dante Caputo of Argentina as his Special Envoy for Haiti in order to assist him, in cooperation with the Secretary-General of the Organization of American States (OAS), in the solution of the Haitian crisis. The Secretary-General also dispatched to Haiti in February 1993 an advance team, together with a survey group, which included field operations and security personnel, and a team of three human rights experts for the purpose of making the necessary preparations for the deployment of the United Nations component of the mission and determining how best the tasks of the mission should be undertaken. The findings and recommendations on the deployment of the mission throughout Haiti, the modalities of its operation and its needs in terms of personnel and resources are described in the report of the Secretary-General (A/47/908) and form the basis for these budget estimates for 1993.

4. For the purpose of financing the initial requirements of the mission, including the Special Envoy and his Office, the advance team, the survey group and three human rights experts, the Secretary-General sought and received in February 1993 the concurrence of the Advisory Committee on Administrative and Budgetary Questions to enter into related commitments of up to $1 million under the terms of General Assembly resolution 46/187 on unforeseen and extraordinary expenses for the biennium 1992-1993. This amount is incorporated in the budget estimates now submitted for the mission in 1993.

5. As indicated in the Secretary-General's report, the International Civilian Mission to Haiti would include a United Nations and an OAS contingent of observers of equal strength, and every effort would be made to coordinate and to harmonize the functioning of both groups in carrying out the mandate of the mission. The staffing rules and regulations of both the United Nations and the OAS would apply to the respective international staff of the two contingents.

6. A number of discussions have taken place between the Secretary-General's representatives and those of the Secretary-General of the OAS about how the costs of the joint mission should be divided between the two organizations. It has been agreed that each organization will be responsible for the personnel costs of the international staff serving in its component. The proposed budget estimates in paragraph 10 below accordingly include the full personnel costs of the international staff of the United Nations component of the joint mission.

7. The proposed staffing for the United Nations component of the mission includes one post at the Under-Secretary-General level for the Special Envoy and one post at the Assistant Secretary-General level for the Executive Director, the related costs to be shared by the United Nations and the OAS. In addition, provision would be made for 196 other international staff, including 133 human rights observers. The OAS will meet the staff costs for another 133 international observers to be provided by them, plus other required international personnel for its component. As indicated in the

Secretary-General's report (A/47/908), the OAS has already fielded approximately 100 of these observers. It is assumed that the United Nations staff of the mission will begin to be deployed as soon as possible. The mission headquarters will be located at Port-au-Prince, with 14 regional offices and sub-offices across the country. A breakdown of the proposed staffing table for the United Nations contingent is shown in annex I to the present document.

8. Options for possible transfer of surplus equipment from existing missions are currently under review. Since such equipment has not been identified as yet, however, no allowance has been made for such transfers. While it is anticipated that there are likely to be sale proceeds for equipment disposed of at the end of the mission, no estimate is proposed for income section 2 at this point since the full duration of the mission is not yet known.

9. It is estimated that an amount of $200,000 would be required for human rights education and public information in Haiti, to educate and keep the public, civic organizations and the mass media, both national and international, informed of the mission's activities and findings. Funds would be utilized for the production of posters and fliers and film, video and radio programmes and other information material to be distributed both inside and outside the country.

C. Additional requirements at full cost for 1993

10. Should the General Assembly adopt draft resolution A/47/L.56, it is estimated that requirements for the mission in 1993, not including the cost of the international staff of the OAS would amount to $23,694,900 net of staff assessment, of which $18,083,200 relates to personnel costs such as salaries, fees, travel and related allowances. The balance, $5,611,700, relates to operational costs such as rental and maintenance of premises and vehicles, purchase of furniture, vehicles, communications equipment, etc. These estimated requirements are summarized below:

	$
Temporary posts	12 468 800
Consultants	79 400
Mission subsistence allowance	5 360 300
Travel of staff	174 700
Rental and maintenance of premises	443 700
Rental of aircraft	360 000
Rental and maintenance of vehicles	333 900
Communications	108 000
Human rights education/public information	200 000
Miscellaneous supplies and services	341 000
Freight and related costs	80 000
Acquisition of vehicles	2 464 000
Acquisition of furniture and equipment	670 100
Acquisition of communications equipment	611 000
Net total	23 694 900

A detailed breakdown of the above estimated requirements is contained in annex III to the present document.

D. Potential for absorption

11. Discussions with the OAS have not yet been concluded on how the two organizations should share the costs of the human and material resources required to enable the international staff in both components to carry out their functions, such as locally recruited personnel, vehicles, communications and office accommodation. The Secretary-General's view is that, given the joint nature of the mission, these costs should be shared equally between the two organizations. Contacts are continuing with the OAS to confirm whether this arrangement is acceptable to them. The budget estimates above indicate the full costs of the local personnel, goods and services required to support the international staff of the whole mission. The actual cost to the United Nations is likely to be less than the figures indicated; but their exact amount cannot be estimated until a formula has been agreed with the OAS on the modalities for sharing them between the two organizations.

12. At this stage, therefore, the Secretary-General is only proposing to request the General Assembly to appropriate $19,112,600 (exclusive of $2,925,000 for staff assessment), which is equivalent to the full costs of the international staff of the United Nations component, plus 35 per cent of the estimated cost of the local personnel, goods and services required to support the joint mission as a whole. The Secretary-General will report further on requirements for 1993 when the continuing discussions with the Secretary-General of the OAS have concluded and request such additional appropriations or commitment authority as may be required.

13. It should also be noted that the Secretary-General has recently established a Trust Fund for Haiti and will solicit voluntary contributions to help meet United Nations costs related to this cooperative effort. At this point it is not clear how much will be available from this source but, to the extent that it will lead to savings against the regular budget, these would be reflected in the second performance report for the programme budget for the biennium 1992-1993. Furthermore, it is not anticipated that the additional requirements outlined above could be absorbed from within the resources appropriated under section 2 of the programme budget for the biennium 1992-1993.

E. Indication of additional requirements

14. This special mission for promotion and observation of respect for human rights would be mandated by the General Assembly and in the view of the Secretary-General would be an appropriate charge against the regular budget. Accordingly, should the General Assembly adopt the draft resolution, it is estimated that an additional appropriation of $19,112,600 would be required under section 2 of the programme budget for the biennium 1992-1993.

15. Furthermore, an additional appropriation of $2,925,000 would be required under section 36 (Staff assessment), offset by the same amount under Income section 1 (Income from staff assessment).

F. Contingency Fund

16. In annex I to General Assembly resolution 41/213 of 19 December 1986, which sets out the new budget process, paragraph 11 provides, inter alia, that:

> "the revised estimates arising from the impact of extraordinary expenses, including those relating to the maintenance of peace and security, as well as fluctuations in rates of exchange and inflation, shall not be covered by the contingency fund and shall continue to be treated in accordance with established procedures and under the relevant provisions of the Financial Regulations and Rules".

17. The Secretary-General is of the view that while not directly related to the maintenance of peace and security, the activities outlined above nevertheless are of an extraordinary nature and should thus be dealt with outside the procedures related to the contingency fund, as provided for in paragraph 11 of annex I to General Assembly resolution 41/213.

Annex I

Proposed staffing table for the United Nations contingent of the International Civilian Mission to Haiti

Functions	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	GS	FS	Total
1. Substantive Offices											
Special Envoy	1	-	-	-	-	-	-	-	1	-	2
Executive Director	-	1	-	-	-	-	-	-	1	-	2
Director of Human Rights	-	-	1	-	-	-	-	-	2	-	3
Deputy Director Media/ Spokesperson	-	-	-	1	-	-	-		1	-	2
Deputy Director Legal	-	-	-	1	-	-	-	-	1	-	2
Deputy Director - Research/Investigation	-	-	-	1	-	-	-	-	1	-	2
Deputy Director Human Rights Education	-	-	-	1	-	-	-	-	1	-	2
Sp. Asst. to Exec. Dir.	-	-	-	-	1	-	-	-	-	-	1
Liaison Officer	-	-	-	-	1	-	-	-	-	-	1
Regional Coordinators	-	-	-	-	7	-	-	-	-	-	7
Legal Officers	-	-	-	-	2	2	-	-	-	-	4
Sp. Assist. to Director of Human Rights	-	-	-	-	-	1	-	-	-	-	1
Public Information Off.	-	-	-	-	-	2	-	-	-	-	2
Medical Officer	-	-	-	-	-	2	-	-	-	-	2
Public Education Officer	-	-	-	-	-	2	2	-	-	-	4
Research/Investigation Officers	-	-	-	-	-	-	6	-	-	-	6
Human Rights Observers	-	-	-	-	-	46	42	45	-	-	133
2. Administrative Offices											
Chief Admin. Officer	-	-	-	1	-	-	-	-	1	1	3
Administrative Officer	-	-	-	-	-	-	1	-	-	-	1
Procurement Officer	-	-	-	-	-	1	-	-	-	1	2
Finance Officers	-	-	-	-	-	1	-	-	2	-	3
Personnel Officers	-	-	-	-	-	1	-	-	2	-	3
Transport Officers	-	-	-	-	-	-	1	-	-	3	4
Communication Officers	-	-	-	-	-	-	-	-	-	4	4
Security Officers	-	-	-	-	-	-	-	-	2	-	2
Total - International staff	1	1	1	5	11	58	52	45	15	9	198

Annex II

Estimated overall local staffing requirements for the International Civilian Mission to Haiti

Secretaries	40
Interpreters/translators	48
Administrative Assistants (personnel, finance, media and medical services)	16
Communications Technicians/storekeepers	4
Receptionists	2
Drivers	106
Security Guards	38
Total, Local-level posts	254

Annex III

Budget estimates for the International Civilian Mission to Haiti

(Thousands of United States dollars)

	At full cost	Proposed interim funding
A. Personnel costs		
1. Temporary posts (salary and common staff costs)	12 468.8	11 534.2
Provision is made for 1 Under-Secretary-General post and 1 Assistant Secretary-General post for the Special Envoy and the Executive Director, respectively (costs which will be shared with the OAS), 196 international posts and 254 posts at the local level. All posts are costed for nine months, however, a downward adjustment of 15% has been made to reflect likely delays in deployment. Details of costing are provided in annex IV to this document.		
2. Consultancies	79.4	79.4
Provision is made for 200 consultancy days, including about three weeks for each of the senior human rights experts who travelled with the survey mission during the second half of February 1993. The consultants will be engaged by the Mission, as required, to cover areas of the substantive functions, where outside expertise will be required. Estimates are based on 200 days at the average fee of $275 ($55,000), plus subsistence allowance for 100 days, during the consultants' stay in Haiti, at the average rate of $117 per day ($11,700), plus travel, a total of 15 round trips at $850, between New York and Port-au-Prince ($12,750).		
3. Mission subsistence allowance	5 360.3	5 360.3
Requirements are estimated based on uniform MSA daily rate of $117 for 196 international staff for 9 months (275 days) and are subsequently adjusted downwards by 15% for delay in deployment.		

	At full cost	Proposed interim funding
4. Travel of staff	174.7	174.7
Requirements relate to travel of the Special Envoy and his senior advisers between New York/ Washington/Caracas/Ottawa/Paris and Port-au-Prince (70 trips at the average cost of $850 for a total of $59,500) and travel of other officials between New York and Port-au-Prince for briefing and liaison purposes (8 trips monthly at an average cost of $1,600 per round trip for a total of $115,200).		
Total A, Personnel costs	18 083.2	17 148.6
B. Operational costs		
1. Rental and maintenance of premises	443.7	155.3
Provision is made for rental and maintenance of mission headquarters in Port-au-Prince and its 14 sub-offices as follows: for rentals, estimated at $20,000 monthly for 9 months ($180,000); for maintenance of premises, based on $500 monthly for the headquarters plus $100 monthly for each of the regional offices ($17,100); for possible structural alterations to premises at 15 locations ($45,000); for contractual security services for all locations, estimated at $18,800 per month for 9 months ($169,200); for contractual cleaning services, the estimates are based on $2,600 per month ($23,400) and for utilities at an estimated $1,000 monthly for all locations ($9,000).		
2. Rental of aircraft	360.0	126.0
Provision is made for the rental of a light fixed-wing passenger aircraft to facilitate travel to remote areas of the island and for emergencies including medical evacuations. Estimates are based on a fixed monthly rate of $40,000, including aviation fuel, for 50 flight hours per month for 9 months ($360,000).		

	At full cost	Proposed interim funding
3. Rental and maintenance of vehicles	333.9	116.9
A provision of $80,000 is made for rental of 20 patrol-type vehicles for 2 months, at the estimated monthly cost of $2,000 per vehicle, awaiting arrival of 106 vehicles to be purchased. It is estimated that $63,100 would be required for repair and maintenance of 106 vehicles ($85 per vehicle/month for 7 months). A total provision of $38,200 would be required for third-party liability insurance ($360 per vehicle) and an estimated $152,600 relates to petrol, oil and lubricants ($160 per vehicle each month for 9 months).		
4. Communications	108.0	37.8
Estimates under this heading cover telephone rental and call charges, user charges for satellite communications terminals plus cost of pouch services. Estimated at $12,000 monthly for all locations.		
5. Public information/human rights education	200.0	70.0
The estimated requirements of $200,000 would arise in connection with an information programme which would include printing of posters and fliers for both internal and external use, travel of a DPI team to the area for production of film/video programmes, advertisements in local newspapers and local radio and television productions.		

	At full cost	Proposed interim funding
6. Miscellaneous supplies and services	341.0	119.3
Provision is made for contractual services, as follows: (a) for hire of Creole teachers, local legal assistants and limited use of casual labour, estimated at $5,000 monthly for 9 months ($45,000); (b) for stationery and office supplies, including supplies and reproduction materials ($81,000); (c) for medical supplies and services, including vaccinations and medical examinations ($80,000); (d) for observer clothing and accoutrements to identify the civilian observers ($45,000); and (e) for other miscellaneous supplies and services, quartermaster stores, sanitation and cleaning materials, bank charges, etc. ($90,000).		
7. Freight and related costs	80.0	28.0
This provision would be required to cover freight relating to miscellaneous equipment, supplies and documentation and clearing charges relating to the acquisition of vehicles and equipment. No provision has been made for the repatriation of vehicles and equipment on the closure of the mission.		
8. Acquisition of vehicles	2 464.0	862.4
It is estimated that a total of 106 vehicles would be required, as follows: (a) 2 light supply trucks ($70,000); (b) 10 four-wheel-drive vehicles, caravan-type to allow the observers to sleep in their vehicles during extended patrols ($250,000); and (c) 94 four-wheel-drive patrol vehicles ($1,880,000). The cost of freight ($264,000) estimated at 12% is also included in this provision.		

	At full cost	Proposed interim funding
9. Acquisition of furniture and equipment	670.1	234.5

The total provision of $670,100 would be required in connection with the following:

(a) Office furniture and equipment ($182,700): 15 fax machines ($30,000); 16 small/medium-size photocopiers ($72,000); 138 desks/chairs and 110 filing cabinets ($49,600); 10 calculators ($1,000); 33 electric typewriters ($23,100); plus miscellaneous other office equipment ($7,000).

(b) EDP equipment and software ($201,500): 70 laptop computers ($140,000); 20 desktop computers ($24,000); 45 printers ($22,500); 20 uninterruptable power supplies ($10,000); and miscellaneous software ($5,000).

(c) Medical equipment/kits ($60,900): 106 medical kits for vehicles ($15,900) and 15 medical chests for the headquarters and regional offices ($45,000).

(d) Solar energy panels ($70,000): 1 unit for each of the 14 regional offices, to compensate for unreliable local power supply.

(e) Petrol tanks and pumps ($56,000) for each of the regional offices.

(f) Audio/video equipment ($56,000): television sets, VCRs and camcorders and 35mm still cameras.

(g) Other miscellaneous equipment ($43,000), including 10 cellular telephones ($10,000), basic workshop equipment and hand tools for the regional offices ($15,000), and other miscellaneous equipment and spare parts ($18,000).

	At full cost	Proposed interim funding
10. Acquisition of communications equipment	611.0	213.8
The requirements for communications equipment to cover external and internal communications are estimated as follows:		
(a) 1 cryptofax machine for secure communication ($30,000), 106 UHF vehicular radio sets ($159,000), 350 UHF portable radios ($175,000), 6 UHF repeater stations ($60,000), 15 UHF base stations ($30,000), 2 INTELSAT type "A" terminals ($60,000), 2 INTELSAT type "B" terminals ($24,000).		
(b) Test/workshop equipment ($30,000) for the establishment of two repair/maintenance facilities.		
(c) Batteries and spare parts for communications equipment - $43,000.		
Total B, Operational costs	5 611.7	1 964.0
Total A and B, net budget estimates	23 694.9	19 112.6
C. Staff assessment (costing is provided in detail in annex IV)	3 055.1	2 925.0
GROSS BUDGET ESTIMATES	26 750.0	22 037.6

Annex IV

Costing of temporary posts

Level assessment	Number of posts	Number of person/months	Net salaries	Common staff costs	Staff assessment
			(Thousands of United States dollars)		
USG	1	5.0	51.0	21.9	24.8
ASG	1	4.5	41.6	17.7	19.6
D-2	1	9.0	74.4	29.5	33.5
D-1	5	45.0	347.6	138.0	151.9
P-5	11	99.0	698.8	277.2	292.9
P-4	58	522.0	3 179.8	1 261.5	1 235.4
P-3	52	468.0	2 375.1	943.8	826.8
P-2	45	405.0	1 670.6	664.9	502.9
FS	9	81.0	327.4	312.5	126.9
GS	13	117.0	332.5	131.6	121.9
SS	2	18.0	57.4	22.8	22.2
Int'l staff (United Nations)	198	1 773.5	9 156.2	3 821.4	3 358.8
Less 15% for delay in deployment			(1 373.4)	(573.2)	(503.8)
Adjusted cost of international staff			7 782.8	3 248.2	2 855.0
Local staff (total mission)	254	2 286.0	1 031.4	660.7	235.4
Less 15% for delay in deployment			(154.7)	(99.6)	(35.3)
Adjusted cost of local staff (total mission)			876.7	561.1	200.1

Level assessment	Number of posts	Number of person/months	Net salaries	Common staff costs	Staff assessment
			(Thousands of United States dollars)		
Adjusted total cost of staff (not including OAS international staff)			8 659.5	3 809.3	3 055.1
Estimated interim requirements for staff					
Adjusted cost of international staff (United Nations)			7 782.8	3 248.2	2 855.0
35% of adjusted cost of local staff (total mission)			306.8	196.4	70.0
		Total	8 089.6	3 444.6	2 925.0